

Application and Mandate for Banking Services: Trust

HOW TO COMPLETE THIS APPLICATION

Please read this Application and the enclosed Terms of Business carefully. For your own benefit and protection you should ensure that you understand the Application, the Terms of Business and all other documents referred to within them.

If you do not understand any point please ask us for further information. Once you are happy that you have understood the documents, please confirm that you agree to them by completing and signing the Confirmation of Mandate.

It is essential that you complete all information accurately and comprehensively, in order that we can give you the best possible service. **The completed and signed Application represents your mandate to us.**

We reserve the right to decline your Application without being required to state any reason and no correspondence will be entered into in such circumstances. Requesting a service does not necessarily mean that we will be in a position to provide you with that service. We are entitled to refuse to provide you with the requested service.

Words and expressions used in this Application shall have the same meaning as defined in the Terms of Business unless otherwise defined in this Application.

Please complete in BLOCK CAPITALS in black ink if possible and tick where appropriate.

Important additional documents you must read and complete:

The following additional documents are enclosed with this Application or, where appropriate, will be sent to you:

- **Terms of Business** – These describe in more detail the services that we provide and the terms of business that apply to them

You should take the time to read and understand and, where relevant, complete each of the documents listed above. If you do not understand any matter referred to in them, please ask us for further information.

Our current fee schedule is available upon request.

Our clients

Our Banking Services are provided to private individuals, corporations and other legal entities.

Our regulatory status

We are authorised in the UK by the Prudential Regulation Authority ('PRA') and regulated by the Financial Conduct Authority ('FCA') and the PRA. We are authorised and regulated in the UK under firm number 119269.

CONFIRMATION OF MANDATE

This Application (including the mandate below), the Terms of Business and the other documents referred to in those documents, together form a legal agreement governed by English law defining SG Kleinwort Hambros Bank Limited's relationship with you in respect of the Banking Services and each Bank Account you have with us (**Account**). These documents set out the scope of our authority to act as bankers to you and enter into transactions with you and on your behalf.

Mandate

Please do NOT sign this mandate unless you are satisfied that (i) you have read and understood this Application, the Terms of Business and the other documents referred to in them (ii) you have been able to ask us any questions you may have about them and (iii) you wish to be bound by them.

If there are joint trustees of the trust, all joint trustees must sign below to signify assent to this mandate. If we are informed of a dispute between trustees we may treat such information as revoking the authorities provided under this mandate. In that event, any further transactions will need the authority of all trustees.

To: SG Kleinwort Hambros Bank Limited

Trustee name(s):

(the Trustee / I / we / us)

Trust name:

(the Trust)

I/We confirm that I/we make this application as sole/joint Trustee of, and for the account of the Trust. I/We request you to open/continue an Account or Accounts in the name of the Trustee as trustee(s) of the Trust and at any time to open such additional Accounts in that name as I/we may direct. I/We will provide you with certified true copies of the constitutional documents of the Trustee and/or the Trust on request.

I/We confirm that in addition to this Application, I / we have received the Terms of Business and the other documents referred to in those documents.

AUTHORITIES ON THE ACCOUNT(S)

I/We revoke all previous authorities to you but such revocation shall not affect any orders to withdraw money, cheques or other instructions for payment, applications or agreements dated earlier than the date of this Application.

Until further notice from me/us in writing in accordance with this Application, I/we authorise and request you, subject to the Terms of Business, to act on the following instructions (including instructions given via your internet banking service, where available), which shall apply to all Accounts now or at any time opened by you in the name of the Trustee as trustee of the Trust.

- to honour and comply with any orders to withdraw money and all cheques (where applicable) and other instructions for payment on an Account;
- to accept and act on any application or request for the issue of, and any instructions in relation to, any letter of credit, guarantee, indemnity or counter-indemnity in respect of an Account;
- to honour and comply with any instructions to purchase, dispose of, deliver or deal with any foreign currency in respect of an Account;
- to accept and act on any application or request to grant overdraft, loan or other credit facilities or accommodation for any Account or otherwise to borrow (whether solely or jointly with any other person);
- to honour and comply with any instructions in all other transactions of any kind with you or with regard to an Account;
- to debit any Account with any fees, expenses, losses and liabilities payable from the Account in accordance with the Terms of Business;
- to honour and comply with any instruction to close an Account;

in every case whether the relevant Account is in credit or debit or may become overdrawn in consequence or otherwise and provided that such instruction is given in respect of an Account and is (save in the case of instructions given via your internet banking service, where available) expressed to be signed or given in respect of the Trust in accordance with the following signing authority.

SIGNATORIES ON THE ACCOUNT(S)

We request that you act on instructions given: (please tick one box only)

- By any one of the following signatories
 - By any two of the following signatories
 - By all of the following signatories
 - In line with the attached authorised signatories list (we agree to supply you with any up-date to the same)
 - In line with the specific instructions below:
-

I/We confirm that the signatures set out below or attached hereto are those of all of the persons authorised to sign on behalf of the Trustee and that such signatures are genuine.

I/We confirm that you may accept all instructions given in accordance with this Application as being authorised by the Trustee in accordance with the constitutional documents of the Trust and of the Trustee.

(Note: In the case of joint trustees it is normally necessary for all trustees to sign unless there are contrary provisions in the constitutional documents of the Trust.)

Individual Trustee(s):

Name:
.....

Position: (e.g sole/joint trustee):
.....

Signature:

Name:
.....

Position: (e.g sole/joint trustee):
.....

Signature:

Corporate Trustee(s):

Name:
.....

Position: (e.g director / authorised signatory):
.....

Signature:

Name:
.....

Position: (e.g director / authorised signatory):
.....

Signature:

RESOLUTION OF THE BOARD(S) OF DIRECTORS OF CORPORATE TRUSTEE

We certify that at a meeting of the board of directors of the Trustee duly convened and held on

 D D M M Y Y Y Y the following resolutions were duly passed:

- to appoint you as bankers to the Trustee for the account of the Trust and that an Account or Accounts in the name of the Trustee as trustee of the Trust be opened with you, upon and subject to the Terms of Business;
- that, in the case of joint Trustees, the Trustee shall be personally liable jointly and individually for any overdraft, loan or other credit facilities which shall be granted on any Account for the account of the Trust together with all interest, commission and other banking charges and expenses arising on such Account;
- that the Application be approved and that such approval be confirmed by the completion and signature of the Application for and on behalf of the Trustee as trustee of the Trust;
- that the duly signed Application together with such other documentation and information as you may require be delivered to you; and
- that these resolutions shall be communicated to you.

We certify that the above resolutions have been duly entered in the minute book and signed by the chairman and are in accordance with the constitutional documents of the Trustee and of the Trust and are at this date in full force and effect.

CERTIFICATION BY EACH INDIVIDUAL TRUSTEE

I certify that:

- I have been duly appointed as Trustee of the Trust and am empowered by the constitutional documents of the Trust to open and maintain an Account or Accounts as Trustee of the Trust;
- you are entitled to assume that all instructions given in accordance with the Application have been authorised by the Trustee in accordance with the constitutional documents of the Trust; and
- in the case of joint Trustees, I shall be personally liable jointly and individually for any overdraft, loan or other credit facilities which shall be granted on any Account for the account of the Trust together with all interest, commission and other banking charges and expenses arising on such Account
- I agree that in the event of my death you shall pay or deliver to or to the order of my surviving or successor Trustee of the Trust all money, securities, deeds, documents and other property whatsoever standing to the credit of, or held by you for, any Account and that such person shall have the power and authority to operate the Account(s).

I/We hereby confirm my/our agreement to the Application, the Terms of Business, and the other documents referred to in them and agree to be bound by them. I/We also confirm that the information given in this Application is correct.

Unless you opt out below, you consent to SG Kleinwort Hambros Bank Limited and its group companies sending you details of selected products and services that we think you may be interested in.

CORPORATE TRUSTEE(S)

Signature:

Name:

Position:

Signature:

Name:

Position:

Date: D D M M Y Y Y Y

We confirm for and on behalf of the corporate entity as Trustee of the Trust that we have received a copy of the depositor protection information sheet.

Do not contact me/us with offers about other products and services by:

Phone Email Post

For and behalf of: (name of corporate entity)

as Trustee of the Trust

Signature:

Name:

Position:

Do not contact me with offers about other products and services by:

Phone Email Post

Signature:

[Signature box]

Name:

.....

Position:

.....

Do not contact me with offers about other products and services by:

Phone Email Post

Date: D D M M Y Y Y Y

For and behalf of: (name of corporate entity)

[Corporate entity name box]

as Trustee of the Trust

INDIVIDUAL TRUSTEE(S)

Signature:

[Signature box]

Name:

.....

As Trustee of the Trust I confirm that I have received a copy of the depositor protection information sheet.

Do not contact me/us with offers about other products and services by:

Phone Email Post

Signature:

[Signature box]

Name:

.....

As Trustee of the Trust I confirm that I have received a copy of the depositor protection information sheet.

Do not contact me/us with offers about other products and services by:

Phone Email Post

as Trustee of the Trust

Date: D D M M Y Y Y Y



Consumer deposit protection - UK clients only

Your deposits are protected up to £85,000 by the Financial Services Compensation Scheme, the UK's deposit protection scheme. Any deposits you hold above this amount are not covered. For further information please ask a member of Compliance, or alternatively visit the Financial Services Compensation Scheme website: www.fscs.org.uk

IMPORTANT INFORMATION PLEASE READ

Kleinwort Hambros is the brand name of SG Kleinwort Hambros Bank Limited, which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm reference number is 119250. The company is incorporated in England and Wales under number 964058 and its registered address is 5th Floor, 8 St James's Square, London SW1Y 4JU. Telephone calls may be recorded.

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SOCIETE GENERALE GROUP