

Bank use only
Account name:

Trust, Charity or Pension Scheme Profile for Intermediary Advised Clients

Trust, Charity or Pension Scheme Profile for Intermediary Advised Clients

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How to complete this Trust, Charity or Pension Scheme Profile for Intermediary Advised Clients

Please read this Trust, Charity or Pension Scheme Profile for Intermediary Advised Clients carefully. For your own benefit and protection you should ensure that you understand the questions contained herein. It is essential that you complete all information accurately and comprehensively, in order that we can give you the best possible service.

If you do not understand any point please ask us for further information.

Section A - Trust, Charity or Pension Scheme

Trust, Charity or Pension Scheme details

Name of Trust, Charity or Pension Scheme:
.....

Type of Trust, Charity or Pension Scheme:
.....

Correspondence address:

Tax Reference:
.....

Registered charity number:
.....

Registered office (if applicable):
.....

Proper Law / jurisdiction of the trust:
.....

Country:

Date of formation: **DDMMYYYY**
.....

Postcode:

Purpose of trust?

Telephone:

Email address:

Annual Capital Gains Tax Exemption: (if applicable)
.....

Please indicate U.S. FATCA status:

PFFI

NPFFI

RDC

Active NFFE

Passive NFFE

Other:

GIIN:

Or TDT provisions apply

Key

PFFI (Participating Foreign Financial Institution)
NPFFI (Non Participating Foreign Financial Institution)
RDC (Registered Deemed Compliant)
Active NFFE (Active Non Financial Foreign Entity)
Passive NFFE (Passive Non Financial Foreign Entity)
TDT provisions (Trustee Documented Trust provisions)

GIIN: Global Intermediary Identification Number (a 19-character identification number assigned by the IRS following entity registration.)

Section A - Trust, Charity or Pension Scheme

Trust / Pension Scheme beneficiaries (named or vested)

About you - first Trust / Pension Scheme beneficiary

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

Country:

If retired, what was your former occupation and who were you employed by?

Postcode:

Employment status:

Home telephone:

Occupation:

Work telephone:

Employer:

Mobile telephone:

Employer address:

Email address:

.....

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trust / Pension Scheme beneficiaries (named or vested)

About you - second Trust / Pension Scheme beneficiary (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trust / Pension Scheme beneficiaries (named or vested)

About you - third Trust / Pension Scheme beneficiary (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trust / Pension Scheme beneficiaries (named or vested)

About you - fourth Trust / Pension Scheme beneficiary (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Settlor/Grantor/Founder/Executor

About you - first Settlor/Grantor/Founder/Executor

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Settlor/Grantor/Founder/Executor

About you - first Settlor/Grantor/Founder/Executor (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Please note: Corporate trustees, or a trustee of an EBT, may be required to provide additional documentation.

Trustees

About you - first Trustee

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trustees

About you - second Trustee (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trustees

About you - third Trustee (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Trustees

About you - fourth Trustee (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section A - Trust, Charity or Pension Scheme

Protector (if appointed)

About you - Protector (if applicable)

Title (Mr, Mrs, Miss, Ms, other):

Marital status:

Forename(s):

Tax domicile:

.....

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

.....

.....

Passport number(s):

.....

Country of issue:

Date of birth: **D D M M Y Y Y Y**

Nationality:

Country of birth:

Nationality 2:

Permanent residential address:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Gender: Male Female

Country:

Postcode:

Section B - Source of wealth and funds

Source of wealth for all Settlor/Grantor/Founder/Executor

Please describe the activities and background that created your total net worth and assets, such as details of employment history, business activities, activities that generated inherited wealth, sales of assets etc., including geographical sphere of such activities.

Settlor 1

Settlor 2

Evidence supporting source of wealth for all settlors

Please attach documentary evidence supporting the information given. For example, evidence of income from employment, a copy of a will, or a letter from a solicitor or accountant confirming the source of wealth. If no supporting evidence is available, please provide below a further brief explanation of the circumstances leading to the establishment of your overall wealth:

Settlor 1

Settlor 2

Source of funds

Please describe the activities that created the funds that are being invested. For example settlor's business activities, including location; or details of assets sold; activities that created inherited sums that are being invested, etc.

Section C - Bank Details


Please provide us with your bank details below to enable us to make payments to you upon your instruction.

Bank name: Account number:

..... Sort code:

Account name:

.....

Bank use only	Signed by Kleinwort Hambros staff member
Client name:	
Client number:	
Relationship manager:	
	Print name:
	Date: <u> </u> / <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u>



Consumer deposit protection - UK clients only

Your deposits are protected up to £85,000 by the Financial Services Compensation Scheme, the UK's deposit protection scheme. Any deposits you hold above this amount are not covered. For further information please ask a member of Compliance, or alternatively visit the Financial Services Compensation Scheme website: www.fscs.org.uk

Regulatory information

Kleinwort Hambros is the brand name of SG Kleinwort Hambros Bank Limited, which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm reference number is 119250. The company is incorporated in England and Wales under number 964058 and its registered address is 5th Floor, 8 St James's Square, London SW1Y 4JU. Telephone calls may be recorded.

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SOCIETE GENERALE GROUP