

Intermediary IFA Stocks and Shares Individual Savings Account (ISA) Application Form 2018/2019 – Continuous

This Application Form should only be completed if you have already signed up to our Discretionary investment management service.

Please complete this form in **BLACK INK** and **BLOCK CAPITALS** and return to: Fund Services Department, Kleinwort Hambros, SG House, 8th Floor, 41 Tower Hill, London EC3N 4SG. Telephone: +44 (0)20 3207 7000. **Please ensure that you sign and date the declaration overleaf.**

Personal Details

Title (Mr/Mrs/Ms/Miss/Dr/Other)

Forename(s)

Surname

Residential address

Postcode

Country

Kleinwort Hambros reference

Date of birth DD / MM / YYYY

Do you have a National Insurance Number?* Yes No
If yes, please give details

* You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue and Customs, a letter from the Department for Work and Pensions, or pension order book.

Income Facility

Please tick if you require income Yes

Bank Details

Please complete the bank details section **only** if you wish your Stocks and Shares ISA income to be paid to you. If these are already shown, please check that the details are correct.

Income will be paid via BACS directly to your bank or your Kleinwort Hambros account. Please refer to the ISA Termsheet for the frequency for each type of ISA.

Bank name

Account number

Sort code

Kleinwort Hambros sub account
if applicable

Payment

I wish to subscribe for the maximum allowable under the ISA rules for tax year 2018/2019 **and each tax year thereafter** until further notice. For the 2018/2019 tax year:

- i) I enclose my cheque made payable to Kleinwort Hambros
or
ii) I wish you to fund from my (non ISA) discretionary portfolio

For subsequent tax years I wish you to fund from my (non-ISA) discretionary portfolio.

FOR INTERNAL USE ONLY

Date of debit

Fund manager authorisation

Time

Date

Investment objective

Asset manager type

Fund Services
Department checked

Declaration and Signature

To: Kleinwort Hambros

I apply for a Kleinwort Hambros Stocks and Shares ISA for the tax year 2018/2019 **and each subsequent tax year until further notice** and confirm that I have read, understood and agree to be bound by the Terms and Conditions applicable to the Kleinwort Hambros Stocks and Shares ISA.

I declare that:

- i) All subscriptions made, and to be made, belong to me.
- ii) I am 18 years of age or over.
- iii) I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA and a Lifetime ISA in the same tax year.
- iv) I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- v) I am resident and ordinarily resident in the UK for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Kleinwort Hambros if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Kleinwort Hambros:

- i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- ii) to make on my behalf any claims to relief from tax in respect of ISA investments; and
- iii) on my written request to transfer or pay to me, as the case may be, ISA Investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- iv) to fund the subscription for each subsequent tax year from my (non-ISA) discretionary portfolio.

I declare that I have read the declaration above and that the information given in this application is correct and has been completed to the best of my knowledge and belief and confirm that any alterations will be notified in writing to Kleinwort Hambros without delay.

Signature

Please print name in full

Date DD / MM / YYYY

Cancellation Rights

Your Stocks and Shares ISA for 2018/2019 will commence on our receipt of this completed Application Form and cleared funds. You may cancel your application at any time within the subsequent fourteen days by completing and returning the cancellation notice enclosed with your letter of confirmation. However, you may not receive back the amount you invested due to price movements during the period between investment and cancellation.

In subsequent years your Stocks and Shares ISA will normally commence within 5 working days of the starts of the tax year, subject to the availability of funds in your discretionary portfolio.

Data Protection

Information provided by you will be held in confidence by Kleinwort Hambros and will not be passed on by Kleinwort Hambros to other product or service companies.

These uses of your personal information are covered by registration of Kleinwort Hambros under the Data Protection Act 1998. Under the Act, you have the right to obtain a copy of the information held about you for which you may be charged a fee.

Risk Warning

The value of investments, and the income from them, may fall as well as rise and the investor may not get back the amount initially invested. Key features documentation is available on request. Fluctuations in exchange rates may cause the value of investments denominated in currencies other than sterling to fall or rise. Past performance does not guarantee future performance. The levels and bases of and relief from taxation may change. The value of tax relief will depend on individual circumstances.

Important Information

Kleinwort Hambros is the brand name of SG Kleinwort Hambros Bank Limited, which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm reference number is 119250. The company is incorporated in England and Wales under number 964058 and its registered address is 5th Floor, 8 St James's Square, London SW1Y 4JU.

Contact details for UK enquiries:

T. +44 (0)20 7597 3400
E. enquiries@kleinworthambros

For details of our services and general information about Kleinwort Hambros please visit www.kleinworthambros.com