

Bank use only
Account name:

Private Client Profile for UK IFA Intermediary Advised Clients

Private Client Profile for Intermediary Advised Clients

Contents

How to complete this Private Client Profile	1
Section A - Personal details	2
First applicant	2
Second applicant (if applicable)	3
Section B - Source of wealth and funds	4
Source of wealth for all applicants	4
Source of funds for all applicants	4
Where are the funds coming from?	5
Reason for opening account	5
Anticipated transactions within forthcoming 12 months	5
Section C - Bank details	6
FSCS Consumer deposit protection - UK clients only	6
Regulatory information	6

How to complete this Private Client Profile for Intermediary Advised Clients

Please read this Company Profile for Intermediary Advised Clients carefully.

For your own benefit and protection you should ensure that you understand the questions contained herein. It is essential that you complete all information accurately and comprehensively, in order that we can give you the best possible service.

You should be aware that your relationship with Kleinwort Hambros will be governed by and is subject to this Client Services Questionnaire and our Terms of Business. The Terms of Business should be read in conjunction with this Client Services Questionnaire.

If you do not understand any point please ask us for further information.

Section A - Personal details

About you - First applicant

Title (Mr, Mrs, Miss, Ms, Other):

Forename(s):

.....

Surname:

Other name(s) used (former, maiden, other):

.....

.....

Date of birth: **D D M M Y Y Y Y**

Country of birth:

Permanent residential address:

.....

Country:

Postcode:

Home telephone:

Work telephone:

Mobile telephone:

Email address:

.....

Gender: Male Female

Marital status:

Tax domicile:

Tax residence:

Tax identification / National Insurance number:

.....

Passport number:

Country of issue:

Nationality:

Nationality 2:

Residential and Working visas held (e.g. Green card/UK Tier 1):

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Employment status:

Occupation:

Employer:

Employer address:

.....

Country:

Postcode:

Dependants

Please use this space to provide details of any dependants i.e. name, date of birth, relationship to you etc.

Is there a Power of Attorney or signatory Authority acting over your affairs?

Yes No

If yes, please provide details:

Name:

Residence:

Nationality:

Section B - Source of wealth and funds

Source of wealth for all applicants

Please describe the activities and background that created your total net worth and assets, such as details of employment history, business activities, activities that generated inherited wealth, sales of assets etc., including geographical sphere of such activities.

Evidence supporting source of wealth for all applicants

Please attach documentary evidence supporting the information given. For example, evidence of income from employment, a copy of a will, or a letter from a solicitor or accountant confirming the source of wealth. If no supporting evidence is available, please provide below a further brief explanation of the circumstances leading to the establishment of your overall wealth:


Source of funds for all applicants

Please describe the activities that created the funds that you are investing. For example, details of your employment, business activity or previous employment, including location, if that is the source; or details of assets sold; activities that created inherited sums that you are investing, etc.

Section C - Bank details

Please provide us with your bank details below to enable us to make payments to you upon your instruction.

Bank name:
Account number:
Sort code:
Account name:

Bank use only	Signed by Kleinwort Hambros staff member
Client name:	
Client number:	
Relationship manager:	
	Print name:
	Date: <u> </u> / <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u>

Consumer deposit protection - UK clients only



SG Kleinwort Hambros Bank Limited is covered by the Financial Services Compensation Scheme ("FSCS"). The FSCS can pay compensation to depositors if a bank is unable to meet its financial obligations. Most depositors – including most individuals and businesses – are covered by the scheme.

Regulatory information

In respect of deposits, an eligible depositor is entitled to claim up to £85,000. For joint accounts, each account holder is treated as having a claim in respect of their share so, for a joint account held by two eligible depositors, the maximum amount that could be claimed would be £85,000 each (making a total of £170,000). The £85,000 limit relates to the combined amount in all the eligible depositor's accounts with the bank, including their share of any joint account, and not to each separate account.

In relation to investment services the limit is £50,000 per person. Compensation will be payable, however, only in circumstances where we have been in default to you of our obligations. It will not be available merely because your investments have not performed as well as you had expected unless we are somehow at fault.

For further information about the schemes (including the amounts covered and eligibility to claim) please contact your Private Banker or refer to the FSCS website: www.fscs.org.uk.

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